



**MALTA STOCK EXCHANGE  
INSTITUTE**

**TOWARDS  
A BRIGHTER  
FUTURE**



# The Salient Points of an AML/CFT Visit: What to Expect

## Course No 225

The Financial Intelligence Analysis Unit (FIAU) issue several administrative measures related to contraventions on AML/CFT shortcomings.

The aim of this course is to highlight the main findings stemming from the administrative measures enforced on subject persons who contravened their AML/CFT obligations emanating from the Prevention of Money Laundering and Funding of Terrorism Regulations (PMLFTR) and the FIAU Implementing Procedures issued thereunder, taking also into account the FIAU enforcement factsheet published in May 2021.

## Sample Topics Covered:

What is expected from the Supervisory Authorities

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The key objectives of an AMLCFT visit

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The process and timelines of the AMLCFT visit

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What to focus on and what to improve

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Administrative penalties

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Supported by:

**DILIGEX.**

## General Information

**Time:** 13:00 - 16.00hrs (June)  
09:00 - 12:00 (November)

**Venue:** Online

**Level:** Intermediate

## Schedule

**Duration:** 3 hours

**Dates:**  27 June  
 20 November

## Target Audience

Managers, executives, compliance officers and stakeholders who work in the financial services sector or in subject entities.

Registration fee: **€95 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the **'apply now'** button and completing the online application process.

### Malta Stock Exchange Institute Ltd.

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## Lecturer

Ms Tiziana Grech



Highly skilled in AML/ CFT with experience in various set-ups, including working at a Supervisory Authority. She is also a Financial Crime Specialist, with special focus on AML/ CFT and is CAMS and CAFCA Certified.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

### Payments by bank transfer

**Bank:** Bank of Valletta plc  
**IBAN Code:** MT04VALL22013000000040025119059  
**IBAN BIC:** VALLMTMT

Kindly insert your NAME, SURNAME, ID CARD NUMBER and COURSE NUMBER in the transaction narrative.

This application is to be accompanied by payment or proof of payment by bank transfer.